



GAU-1643

PATENT  
Attorney Docket No. FJN-077

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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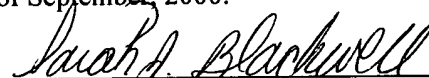
SEP 20 2000

TECH CENTER 1600/2900

APPLICANT(S): Tani et al.  
SERIAL NO.: 09/423,905 GROUP NO.: 1643  
FILING DATE: November 16, 1999 EXAMINER: Unknown  
TITLE: Therapeutic Agent For Preventing And/Or Treating Sepsis

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, Box NON-FEE AMENDMENT, on this 13th day of September, 2000.

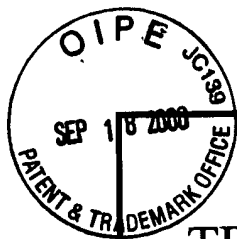
  
Sarah J. Blackwell

Commissioner for Patents  
Washington, D.C. 20231  
Box NON-FEE AMENDMENT

Sir:

Submitted herewith is/are:

Certificate of First Class Mailing (1 pg.); Transmittal Form (1 pg.); Preliminary Amendment (6 pgs.); Courtesy Copy of Claims (3 pgs.); and mailroom postcard.



# TRANSMITTAL FORM

Application Serial Number	09/423,905
Filing Date	November 16, 1999
First Named Inventor	Tani
Group Art Unit	1643
Examiner Name	Unknown
Attorney Docket No.	FJN-077

## ENCLOSURES (check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><input checked="" type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input type="checkbox"/> Formal Drawing(s)<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)<br><br>- Courtesy Copy of Claims |
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Respectfully submitted,

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# 91Pe-a  
JR  
09/23/00

PATENT  
Atty. Docket No. FJN-077  
(3999/81)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SERIAL NUMBER: 09/423,905 Group Art Unit: 1643  
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TITLE: Therapeutic Agent for Preventing and/or Treating Sepsis

PRELIMINARY AMENDMENT

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Please amend the above-identified application as follows:

*In the Specification:*

On the first page of the Specification, following the title, please insert the  
following paragraph: ✓

RELATED APPLICATIONS

a<sup>1</sup>  
This application is a filing under 35 U.S.C. § 371 of international application number PCT/JP99/01373, filed March 19, 1999, which claims priority to Japanese patent application number 70914/1998, filed March 19, 1998.